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3 **Immunohistochemical expression of MUC4 in different grades of**
4 **Head and Neck Squamous cell carcinoma**

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10
11 **Abstract**

12 **Objective:** To determine immunohistochemical expression of Mucin 4 in head
13 and neck squamous cell carcinoma and its different histological grades among
14 patients reporting to various tertiary care hospitals in an urban setting.

15 **Method:** The descriptive study was conducted at the Department of Oral
16 Pathology / Morbid Anatomy and Histopathology, University of Health
17 Sciences, Lahore, Pakistan, from January to July 2017, and comprised cases of
18 head and neck squamous cell carcinoma. Histological diagnosis and grading
19 was done for each case. Haematoxylin and eosin stain followed by
20 immunohistochemistry was done. Relation of Mucin 4 expression with tumour
21 types was explored. SPSS 20 was used for statistical analysis.

22 **Result:** Of the 63 samples, 40(63.5%) were from male patients. The overall
23 mean age of the patients was 53±3.77 years. Mucin 4 expression was positive in
24 47(74.6%) cases. Of them, 16(34%) had grade 1 tumour, 28(59.6%) had grade 2
25 and 3(6.4%) had grade 3 tumour. There was a significant relation
26 (p=0.03) between tumour grades and intensity of Mucin 4 expression.

27 **Conclusion:** Upregulation of Mucin 4 in tumour tissue with no expression in
28 normal epithelium was found, and loss of Mucin 4 expression with increase in
29 tumour grade was noted.

30 **Key Words:** Mucin 4, MUC4, Squamous cell carcinoma of head and neck,
31 HNSCC, Immunohistochemistry.

32

33 **Introduction**

34 Head and neck squamous cell carcinoma (HNSCC) constitutes up to 90% of
35 cases among all cancers affecting the head and neck region.¹ It is the 6th leading
36 cancer in the world with overall high global incidence and mortality causing
37 over 550,000 new cases and around 300,000 deaths each year.² In Pakistan,
38 collective data report of cancer registry 1994-2013 by Shaukat Khanum
39 Memorial Cancer Hospital and Research Centre (SKMCH&RC) has ranked
40 HNSCC to be the third most common tumour in all age groups and the second
41 most common in adults affecting both genders equally.³ Also, four years (2004-
42 2008) of aggregated data from five leading cancer hospitals of Pakistan has
43 revealed HNSCC to be the second most common cancer, accounting for 9.9% of
44 all cases.⁴

45 The overall high incidence and mortality rate can be attributed to incomplete
46 understanding of molecular pathways and lack of reliable and validated
47 biomarkers that predict the early diagnosis and prognosis of this alarming
48 disease. Though molecular characterisation of this disease has facilitated the
49 understanding of the molecular mechanisms contributing to the development of
50 HNSCC and recognition of different molecular biomarkers, like p53, hypoxia-
51 induced factors, interleukins (ILs), melanoma-associated antigen (MAGE), microsatellite
52 instability (MSI), matrix metalloproteinases (MMPs), among others, but there are issues of
53 specificity, sensitivity and clinical validation with some of these biomarkers.⁵
54 Therefore, extrication of cellular pathways involved pathogenesis of HNSCC

55 and searching of new diagnostic and prognostic biomarkers is still the need of
56 the hour.⁶

57 Mucins are glycosylated proteins expressed by various epithelial structures and
58 involved in distinct functions, such as cell differentiation, cell adhesion and cell
59 signalling. Changes in their glycosylation pattern are associated with
60 development and progression of malignant diseases, and, therefore, mucins are
61 analysed as potential markers for diagnosis and progression of epithelial
62 malignancies.⁷ Mucin 4 (MUC4), a trans-membrane mucin, has recently
63 appeared as a useful biomarker. It plays its role in tumour progression indirectly
64 through anti-adhesion mechanism or directly through ErbB2(Receptor Tyrosine
65 Kinase 2) signalling pathway.⁸ Variation in its expression and glycosylation has
66 been reported in various epithelial malignancies, like breast, lung, pancreas,
67 oesophagus and cervix.⁹

68 MUC4 is also localised in head and neck squamous epithelium and its role in
69 malignant transformation is being researched. Three studies have so far
70 investigated the role of MUC4 in carcinogenesis of squamous cell carcinoma
71 (SCC); one in Japan¹⁰ in which 61(40.6%) cases showed over-expression of
72 MUC4, one in India¹¹ in which MUC4 over-expression was observed in
73 14(70%) cases, and the third in the United States¹² which reported that
74 knockdown of MUC4 gene inhibited cell proliferation both in vitro and in vivo
75 through induction of senescence programming pathways.

76 The over-expression varied in the three studies, highlighting variations among
77 different populations. Further studies need to be carried out in high prevalence
78 populations so as to add to the literature and validate previous findings. The
79 current study was planned to determine the immunohistochemical (IHC)
80 expression of MUC4 in HNSCC and its different histological grades among
81 patients reporting to various tertiary care hospitals of an urban centre.

82

83

84 **Materials and Methods**

85 The descriptive study was conducted at the Department of Oral Pathology /
86 Morbid Anatomy and Histopathology, University of Health Sciences (UHS),
87 Lahore, Pakistan, from January to July 2017. The ethical approval of the study
88 was taken by Ethical Review Committee of University of Health Sciences.

89 The sample size was calculated based on anticipated expression of MUC4 to be
90 78%¹² with a confidence level of 95% and a finite population of 80 HNSCC
91 cases per year.

92 Formalin-embedded paraffin (FFPE) blocks of patients with diagnosis of
93 primary HNSCC regardless of gender and age were obtained from UHS,
94 Postgraduate Medical Institute (PMI), Lahore, and Sheikh Zayed Hospital,
95 Lahore. Damaged blocks with insufficient clinical data and inadequate tissue
96 sample were excluded. Age and gender of the patients along with the site of
97 tumour involvement were recorded.

98 Tissue sections of 4µm thickness were cut from the blocks and taken on to the
99 frosted glass slides. The sections were processed for haematoxylin and eosin
100 (H&E) staining. After the confirmation of histological diagnosis the tissues
101 were graded according to Bryne's histological grading criteria.¹³

102 IHC was done according to the protocol described by Bancroft and Gamble.¹⁴
103 Briefly, 4µm thick tissue sections were cut with the help of rotary microtome
104 and taken on Poly-L-lysine-coated slides for IHC staining with anti-MUC4 8G7
105 (abcam) antibody. Tissue sections of HNSCC along with positive control in the
106 shape of pancreatic cancer tissue were taken on glass slides. The sections were
107 dried at 60°C for 50 minutes in a hot-air oven followed by de-waxing in xylene
108 and rehydration in graded alcohol. The slides were placed in Coplin jar along
109 with antigen retrieval citrate solution which was prepared by dissolving 2.94g of
110 sodium citrate in 1000ml of distilled water; potential of hydrogen (pH) was
111 adjusted at 6.0 and 250µl of Tween 20 was added for final use. The jars were
112 then placed in hot-water bath for 30 minutes at 95°C. Slides were allowed to

113 cool and evaporative losses were replaced by fresh phosphate buffered saline
114 (PBS). Endogenous peroxidase activity was blocked by incubating slides with 1-
115 2 drops of hydrogen peroxide (H_2O_2) for 15 mins followed by PBS washes. Next, 1-2
116 drops of protein blocker were put on the slide and incubated for 10 minutes.
117 Again, thorough washes with PBS were done. Slides were then incubated with
118 primary antibody, anti-MUC4 antibody (code ab52263; Abcam, USA) which
119 was diluted to the concentration of $5\mu g/ml$, as suggested by the manufacturer,
120 for 2 hours. Next, 1-2 drops of yellow-coloured biotinylated secondary antibody
121 reagent were placed for 30 minutes followed by 1-2 drops of red coloured
122 streptavidin peroxidase reagent for 10min. After being washed 3 times with
123 PBS, diaminobenzidine (DAB) was allowed to react with tissue sections for 10
124 min for visualisation, and the slides were rinsed with tap water counterstained
125 by haematoxylin and mounted using dibutylphthalate polystyrene xylene (DPX). Human
126 pancreatic cancer tissue was taken as positive control, while omitting the
127 primary antibody step in peroxidase-labelled streptavidin-biotin technique
128 provided the negative control for MUC4.

129 MUC4 expression was evaluated on the basis of extent and intensity of
130 immune-labelling in tumour cell membrane and cytoplasm. Total score (TS) for
131 each case was calculated by adding the proportion score (PS) and intensity score
132 (IS).¹⁵

133 Data was analysed using SPSS 20. Age was presented as mean \pm standard
134 deviation (SD). Gender distribution, tumour grades, morphological parameters,
135 MUC4 staining intensity, proportion and TS were presented as frequencies and
136 percentages. Association between MUC4 expression and clinicopathological
137 variables were computed using Chi square test of independence, and $p \leq 0.05$ was
138 taken as significant. Multinomial regression was applied to assess the role of
139 tumour grade in MUC4 staining pattern and to assess the odds of staining in
140 relevance to grades of tumour.

141

142 **Results**

143 Of the 63 samples, 40(63.5%) were from male patients. The overall mean age of
144 the patients was 53 ± 3.77 years. MUC4 expression was positive in 47(74.6%)
145 cases. Of them, 16(34%) had grade 1 tumour, 28(59.6%) had grade 2 and
146 3(6.4%) had grade 3 tumour. Maximum cases were from the oral cavity
147 followed by neck, face and head (Table).

148 IHC with MUC4 was performed on all the 63(100%) cases, and staining
149 reaction in different grades of HNSCC were noted (Figures 1-2).

150 Association of MUC4 expression with age, gender and tumour site was non-
151 significant ($p > 0.05$). Significant association was observed between IS and
152 tumour grade ($p < 0.05$), indicating a decrease in intensity with increasing grade.
153 A significant inverse association was found between TS and tumour grade
154 ($p < 0.05$), indicating a loss of MUC4 expression with increasing tumour grade.

155 By taking grade 3 tumours as reference, there was significant difference in
156 staining pattern of grade 1 (odds ratio [OR] = 3.9; 95% confidence interval [CI]
157 = 1.074-6.723; $p = 0.007$) and grade 2 (OR=3.1; 95%CI=0.418-5.782 $p = 0.023$)
158 tumours, meaning that all grade 1 HNSCCs were approximately 4 times more
159 likely to be stained with MUC4 antibody compared to grade 3 tumours. In case
160 of grade 2, CI was < 1 so the odds were slightly weaker for a significant
161 difference between MUC4 staining patterns of grade 2 and grade 3 HNSCCs
162 ($p > 0.05$).

163

164 **Discussion**

165 Mucins have been considered potential biomarkers in cancer prognosis due to
166 their unique expression in cancer patients compared to healthy individuals.

167 Among them, the role of MUC4 in carcinogenesis has been proved by its
168 aberrant expression in various tumours. In the present study, 75% HNSCCs
169 showed positive MUC4 expression with almost no expression in attached
170 benign stratified squamous epithelium. Expression was mainly cytoplasmic with

171 maximum cases showing moderate intensity and >66% stained tumour cells. In
172 some cases, MUC4 expression in adjacent dysplastic epithelium was also noted.
173 These results are in line with Macha et al. and Narashiman et al. who reported
174 positive expression in 78% and 70% of HNSCC tissue samples.^{11, 12} However,
175 the current results are different from Hamada et al.¹⁰ who found MUC4
176 positivity in 40% HNSCC samples. This can be due to the difference in
177 population targeted as in our study and in the study carried out by Narashiman
178 et al.¹¹, southeast Asian population was targeted where there is high incidence of
179 HNSCC, while Hamada et al.¹⁰ targeted northeast Asian population of Japan
180 with comparatively low tumour incidence.¹⁶

181 In the current study, no association was observed between age and MUC4
182 expression. Comparable results were seen in earlier studies.^{10,12} On the contrary,
183 a study carried in the USA on cutaneous SCC observed a significant relation
184 between MUC4 expression and age of the patients, with MUC4-positive
185 patients being older than MUC4-negative patients, suggesting that longer years
186 of sun exposure may induce MUC4 in subset of cutaneous SCC.¹⁷ Different
187 studies conducted in Japan, USA and India showed that MUC4 expression had
188 no association with gender¹⁰⁻¹² and the findings of the current study matched
189 that result. MUC4 expression was also independent of site involved by HNSCC
190 as change in site did not have any effect on molecular pathogenesis of HNSCC.
191 Likewise, a USA study¹² also did not find any association between the two.
192 Even when oral cases of SCC were analysed separately, no association was
193 seen. This was in concordance with results of a study done in Japan¹⁰ on cases
194 of oral squamous cell carcinoma (OSCC). On the contrary, a study in India
195 observed significant site-dependent MUC4 positivity¹¹. This difference can be
196 explained by the fact that sites compared included not only the ones affected by
197 OSCC, but also the sites presenting with leukoplakia which could confound the
198 results.

199 To our knowledge, the current study is the first to have compared MUC4
200 expression in different grades of HNSCC. When the pattern of intensity,
201 proportion of positively stained cells and degree of positivity were observed
202 with biomarker, tumour grades were seen to be significantly associated with
203 MUC4 expression, showing a decrease in expression with increase in grade.
204 Grade 1 exhibited moderate to strong positivity for MUC4, grade 2 exhibited
205 weak to moderate positivity, and grade 3 showed only weak positivity. These
206 findings were in concordance with studies done in cutaneous SCC and OSCC.¹¹
207 ¹⁷ They reported a strong to moderate expression in well-differentiated and
208 moderately differentiated SCC, and weak positive expression in poorly
209 differentiated SCC. When tumour grade association with positive and negative
210 expression of MUC4 was evaluated, no significant relation was found in the
211 current study. This finding was similar to earlier results ^{10,12}.

212 A decrease in MUC4 expression in moderately and poorly differentiated SCC
213 may be attributed to loss of differentiation of squamous cells compared to well-
214 differentiated SCC. Previous studies on the role of MUC4 in SCC have shown
215 its relationship with tumour differentiation^{18,19}. There is no universal scoring
216 system to analyse IHC data, combinative semi-quantitative approach like Allred
217 score, Immunoreactive score and H-score are considered to be gold standards
218 for IHC estimation and data presentation although individual scoring systems
219 for particular IHC marker may be the best viable way to answer the special
220 scientific question²⁰ Proportion of positively stained cells in our samples did not
221 show any association with different grades of SCC.

222 Hamada et al. took >5% immunopositive cells as a criterion of MUC4 positive
223 cases, and Narashiman et al. also analysed the data on the basis of proportion of
224 immunopositive cells only^{10, 11} Proportion scores help quantify the data, but
225 taking into account only the proportion scores (PS), results due to lack of
226 information regarding subtle differences in protein expression level are
227 evaluated as intensity score.²¹ Both of the studies^{10,11} did not compare the PS

228 with histological tumour grades. While in the current study, Allred scoring
229 approach was used and significant relation was seen between TS and tumour
230 grades. Also, IS when compared separately with tumour grades gave significant
231 results. Macha et al. used a combined scoring system but did not compare IS
232 and PS individually with tumour grades.¹² To the best of our knowledge, there
233 is no published data regarding comparison of different grades of HNSCC with
234 MUC4 intensity and proportion.

235 Modifying the scoring system for this particular marker and taking into account
236 only the IS may be sufficient as a reliable prediction of MUC4 expression as
237 this alone showed decrease in expression with increasing tumour grade.

238

239 **Conclusion**

240 Upregulation of MUC4 in tumour tissue with no expression in normal
241 epithelium was found, and loss of MUC4 expression with increase in tumour
242 grade was noted. There was evidence to suggest the need to include MUC4 as a
243 marker for tumour cell differentiation.

244

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246 **Conflict of interest:** None.

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249

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317 **Table: Intensity, proportion and total scores of Mucin 4 (MUC4) staining**
 318 **among Bryne's grades of Head and neck squamous cell carcinoma**
 319 **(HNSCC).**

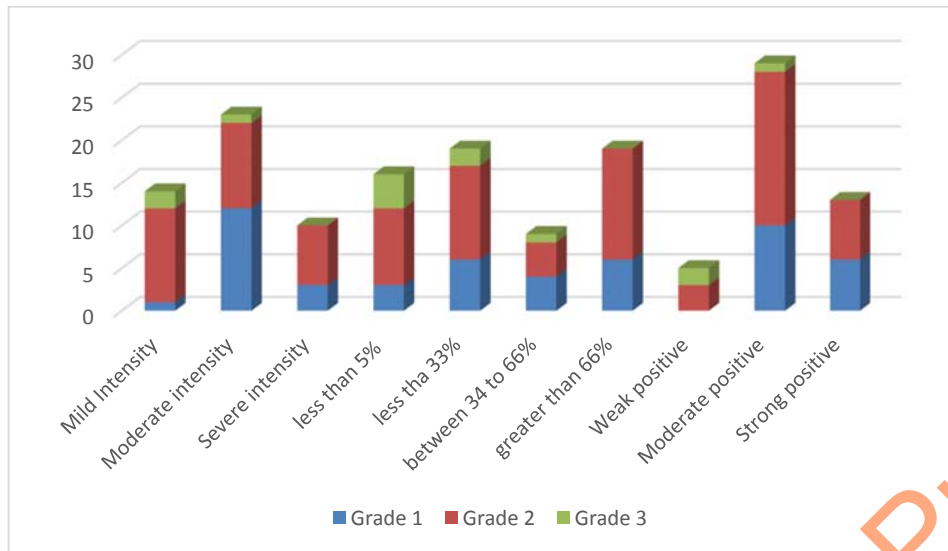
Age	MUC4 EXPRESSION (n=63)		Level of significance (p-value)
	Negative	Positive	
21-40 years	2(12.5%)	10 (21.3%)	<i>p</i> =0.43
41-60 years	11(68.8%)	24 (51.1%)	
61-80 years	3(18.8%)	13(27.7%)	
Total	16(100%)	47(100%)	
Gender			
Male	12 (75%)	28 (59.6%)	<i>p</i> =0.61
Female	4 (25%)	19 (40.4%)	
Total	16(100%)	47(100%)	
Site			
Head	0 (0%)	1 (2.1%)	<i>p</i> =0.16
Face	1(6.2%)	2(4.3%)	
Oral cavity	13(81.2%)	32(68.1%)	
Neck	2(12.5%)	12(25.5%)	
Total	16(100%)	47(100%)	
Grade			
Grade 1	3(18.8%)	16(34%)	<i>p</i> =0.06
Grade 2	9(56.2%)	28(59.6%)	
Grade 3	4(25%)	3(6.4%)	
Total	16(100%)	47(100%)	

320 *p*-value (level of significance)

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324

325 **Figure 1:** Intensity (mild, moderate, severe) proportion (<5%, <33%,34-
 326 66%,>66%) and total scores (weak positive, moderate positive, strong positive)
 327 of Mucin 4 (MUC4) staining among Bryne's grades of **Head and neck**
 328 **squamous cell carcinoma (HNSCC).**

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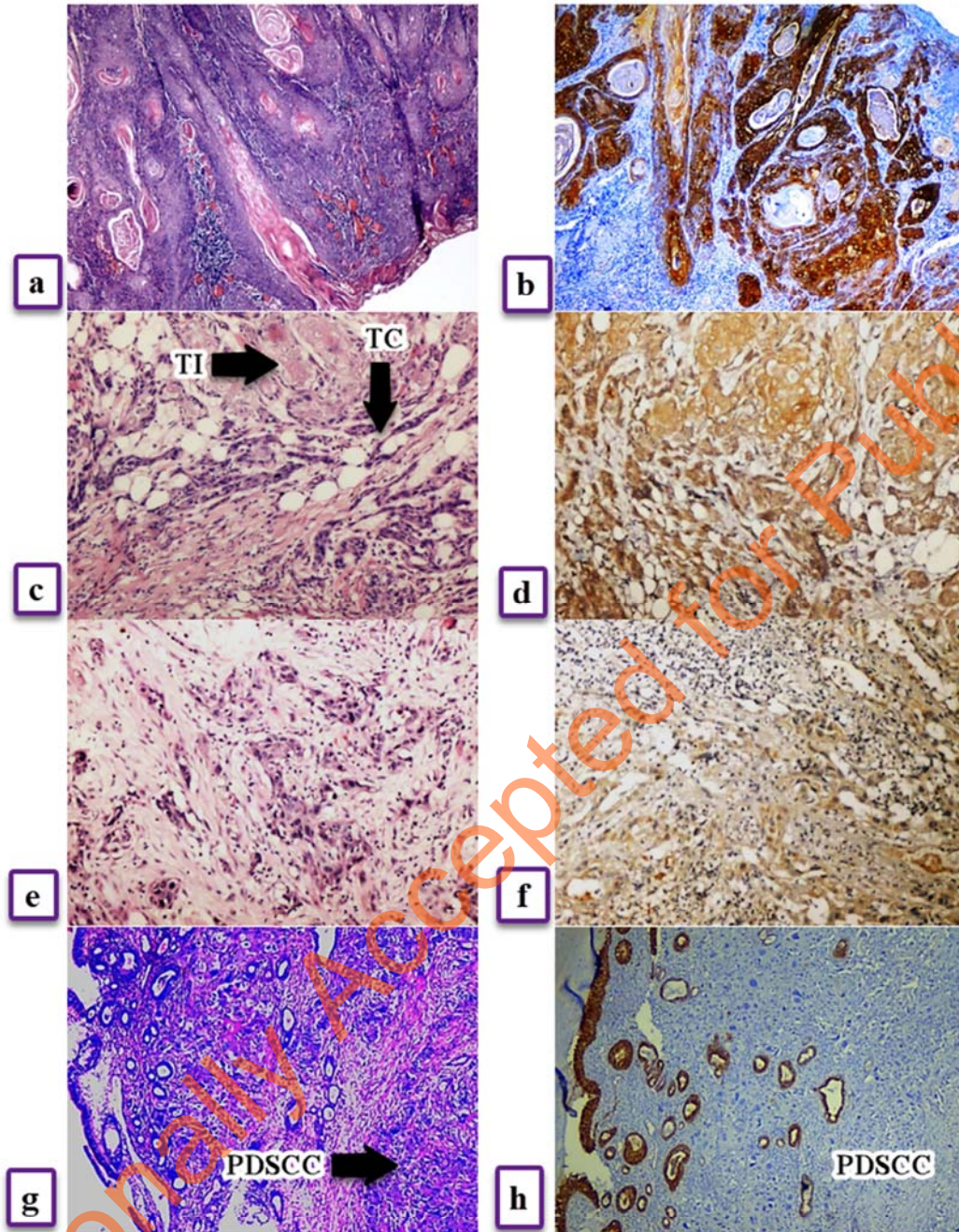


Figure 2: Photomicrographs of different grades of Head and Neck Squamous cell carcinoma (HNSCC) a) Well differentiated Squamous cell carcinoma (Grade1). (H&E;10x10X) (b) Strong cytoplasmic expression in grade 1(MUC4 IHC;10x10X) c) Moderately differentiated HNSCC (Grade 2) with tumor islands (TI) and tumor cords (TC) infiltrating into adipose tissue(AT) (H&E;10x10X) (d) Moderately intense cytoplasmic expression in grade 2 (MUC4 IHC;10x10X) (e) Poorly differentiated squamous cell carcinoma (Grade 3) (H&E;10x10X) (f) Mild cytoplasmic expression in grade 3. (MUC4 IHC; 10x10X) (g) Poorly differentiated squamous cell carcinoma (Grade 3) (H&E;10 X) (h) Strong cytoplasmic expression in pseudostratified ciliated columnar epithelium of nasal cavity (E) due presence of mucus in goblet cells with no expression in poorly differentiated squamous cell carcinoma (PDSCC) (MUC4 IHC; 10x10X).

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