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2
3 **Safety of balanced propofol and midazolam in upper**
4 **gastrointestinal endoscopy for sedation in cirrhotic patients**

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11
12 **Abstract**

13 **Objective:** Sedation for upper gastrointestinal endoscopy (UGIE) in patients
14 with cirrhosis is theoretically associated with high incidence of adverse events
15 due to low levels of binding proteins and decreased hepatic clearance of drugs.
16 The objective of the study was to assess the safety of combined propofol and
17 midazolam sedation in cirrhotic patients undergoing UGIE.

18 **Methods:** A total of 500 patients undergoing UGIE were divided in to two
19 groups in a prospective observational study from Jan 1st 2018 to June 30th 2018.
20 Group (I) consisted of cirrhotic patients who underwent the procedure with
21 sedation and Group (II) consisted of non-cirrhotic patients who opted for
22 sedation. The main outcome measurements included vitals monitoring before,
23 during and after procedure, total sedation dose, time to initial and deep sedation,
24 recovery time and complications.

25 **Results:** There was no significant difference between sedation safety and rate of
26 complications for the cirrhotic and non-cirrhotic patients except for the recovery
27 period during initial 10 minutes. The Modified Aldrete score for the cirrhotic
28 patients was 9.5±0.5 min as compared to 9.8±0.4 min for non-cirrhotic patients

29 (p<0.001) at 10 minutes. Grade 2 hepatic encephalopathy was seen in 0.8% of
30 the cirrhotic patients who required hospitalization for 24 hours. Also balanced
31 sedation was acceptable by the patients and the endoscopists equally with
32 statistically significant scores on endoscopist's assessment of co-operation and
33 assessment of patient's satisfaction scores.

34 **Conclusion:** Balanced propofol and midazolam sedation has a good index of
35 safety for both cirrhotic and non-cirrhotic patients and is acceptable by the
36 patients and endoscopists equally.

37 **Keywords:** Balanced sedation, midazolam, cirrhosis, UGIE, complications

38

39 **Introduction**

40 Cirrhotic patients undergo upper gastrointestinal endoscopy (UGIE) repeatedly,
41 both in elective and emergency setups. To increase patient's tolerability and
42 acceptance, endoscopic procedures are done under conscious sedation but
43 hepatic impairment and risk of hepatic encephalopathy increase apprehension
44 among health care providers regarding use of sedation in cirrhotic patients¹.

45 Midazolam, propofol, fentanyl and meperidine, alone or in combination are
46 used commonly for UGIE. Midazolam has a rapid onset and potent amnesic
47 properties, however due to low hepatic clearance in cirrhotic patients; large
48 doses of midazolam are associated with psychomotor dysfunction². Propofol, a
49 hypnotic agent, is used alone or in conjunction with midazolam in patients with
50 impaired hepatic or renal function as it does not require dose adjustment and is
51 associated with more serious cardiovascular and respiratory complications as
52 there is no antagonist available³.

53 There are studies comparing benefits and safety of propofol versus midazolam
54 sedation for both UGIE and Endoscopic Retrograde Cholangiopancreatography
55 in cirrhotic and non-cirrhotic patients but data regarding combined propofol and
56 midazolam sedation in cirrhotic patients is limited^{4,5,6}. This study highlighted
57 the sedation safety, time to initial and deep sedation, sedation doses, recovery

58 time, patients' acceptance, endoscopists' comfort and adverse events using
59 propofol and midazolam as balanced sedation in cirrhotic patients undergoing
60 UGIE as compared to patients with no cirrhosis.

61

62 **Patients and Methods**

63 A total of 500 patients were enrolled through convenience sampling with a 4.4%
64 margin of error and a population proportion of 80% for a confidence level of
65 95% using OpenEpi sample size calculator.⁷ The study was carried out over a
66 period of six months from January 1st 2018 to June 30th 2018 with ages of
67 patients between 16 – 79 years in a prospective observational study. Two groups
68 were drawn according to patients' consent regarding sedation including I)
69 patients with cirrhosis undergoing upper gastrointestinal endoscopy with
70 sedation and II) patients without cirrhosis undergoing upper gastrointestinal
71 endoscopy with sedation. Patients with Hepatocellular Carcinoma, Portal Vein
72 Thrombosis without cirrhosis, non-cirrhotic portal hypertension, patients with
73 prior Trans-hepatic Intravenous Porto-systemic Shunt (TIPSS), pregnant and
74 lactating mothers, patients with ages below 14 and above 79, patients with
75 hepatic encephalopathy, chronic pulmonary disease, chronic kidney disease,
76 hypotension, patients in shock, history of drug abuse and those with American
77 Society of Anaesthesiologist Classification of 4 or more were all excluded.
78 Patients were also excluded if the procedure was abandoned or there was
79 difficult intubation. The control group consisted of patients undergoing upper
80 GI endoscopy to evaluate dyspepsia, Gastro-esophageal Reflux Disease
81 (GERD) and upper GI bleed, who had no evidence of liver disease on clinical
82 history or laboratory findings. An approval from the Institutional Review Board
83 was duly taken for the study.

84 All the patients fasted over-night. Sedation was given either by a
85 gastroenterologist or a nurse endoscopist who had training in basic life support
86 (BLS) and advanced cardiac life support (ACLS). The non-anesthesiologist

87 trainee gastroenterologist monitored heart rate, blood pressure, oxygen
88 saturation, chest movements, breathing pattern, signs of aspiration, depth of
89 sedation and level of pain. Complete cardiopulmonary resuscitation equipment
90 was available within the endoscopy unit. On call anaesthetic and intensive care
91 staff were informed beforehand and the availability of space in the intensive
92 care unit along with a ventilator was ensured. Procedures were performed in the
93 left lateral position. An 18–20 gauge cannula in the right forearm was used to
94 establish intravenous access for sedation.

95 Intravenous Propofol in dose of 30mg and Midazolam 3mg were given as initial
96 sedation in patients with weight above 60kg and age below 70 years. Repeated
97 boluses of 10mg Propofol were given until patient achieved adequate sedation.
98 For patients with either weight below 60kg or age above 70 years, initial bolus
99 of 20mg Propofol and 2mg of Midazolam was given intravenously.⁸

100 A score of 2 on the modified Observer's Assessment of Alertness/Sedation
101 (OAA/S) scale was considered as deep sedation (i.e, patient responding to mild
102 probing or shaking).⁹ The time to achieve deep sedation after initial sedation
103 was recorded for each patient. OAA/S of 2 was maintained throughout the
104 procedure, and total dose of sedation with total duration of procedure were
105 recorded.

106 Patient's satisfaction assessment was established using a visual analogue scale
107 (unacceptable – 1, extremely uncomfortable – 2, slightly uncomfortable – 3 and
108 no discomfort – 4) and that of endoscopist's assessment of co-operation of
109 sedated patients with scale poor – 1, fair – 2, acceptable – 3, good – 4 and
110 excellent – 5.¹⁰

111 Heart rate, oxygen saturation, blood pressure and electrocardiogram were
112 monitored every 5 minutes intra-procedure. A heart rate of <60/min was
113 considered bradycardia, oxygen saturation of <90% was considered hypoxemia
114 and blood pressure of <90/60 was regarded hypotension.¹¹ The adverse events

115 were considered minor if the baseline variables returned to normal within 30
116 seconds without intervention.¹¹

117 Recovery from sedation was assessed using modified Aldrete scoring system
118 (MASS) every 5 minutes post-procedure till 30 minutes⁸. MASS scores of 9 and
119 above indicated that the patient can be discharged safely⁸ and thus patients with
120 a score of >9 at 30 minutes were allowed to leave the facility with a responsible
121 attendant⁶. In case attendant was not available, the patients were admitted for
122 observation for 24 hours. Patients who did not opt for sedation were discharged
123 within 15 minutes of procedure in case no complication occurred.

124 Continuous data was presented as mean \pm standard deviation and analyzed using
125 Mann Whitney U test for non-normal data. Categorical data was summarized as
126 frequencies and percentages and compared using Chi square statistics. P-value
127 was considered statistically significant when <0.05 . Statistical analysis was
128 done using SPSS 16.0.

129

130 **Results**

131 A total of 500 patients were enrolled through convenience sampling, out of
132 which 50% had cirrhosis. Both the groups had a significant male predominance
133 (Table 1). The mean age \pm SD in years for Group I was 54.8 ± 11.8 and that for
134 Group II was 45.7 ± 21.8 . Group I had relatively higher weights as compared to
135 Group II. Among the cirrhotic patients, Child Pugh Score B (7-9) was
136 predominant as depicted in the Table 1.

137 Emergency procedures accounted to 18.4% and 3.2% for Group I and II,
138 respectively. The duration of procedure was longer for Group I (14.8 ± 0.1 min)
139 as compared to Group II (8.1 ± 3.7) largely because of therapeutic procedures
140 like endoscopic band ligation (EBL) and sclerotherapy in cirrhotic population
141 took longer duration. Baseline systolic, diastolic blood pressure and pulse were
142 lower for the Group I in comparison to Group II, probably because of primary

143 or secondary prophylaxis provided for portal hypertension in cirrhotic
144 population. (Table 1).

145 The time interval to initial and deep sedation (OAA) was longer for Group II as
146 compared to Group I, whereas the doses of sedation used were higher for Group
147 I, corresponding to the longer duration of procedure (Table 2). The systolic and
148 diastolic blood pressure and pulse of the patients recorded every 5 minutes
149 during the procedure were lower for Group I as compared to Group II. The
150 recovery index, calculated every 5 minutes using MASS was higher for Group I
151 during initial 10 minutes in the recovery room.

152 Both the groups showed significantly higher scores on Endoscopist's
153 assessment of co-operation and patient's satisfaction assessment (Table 3).

154 An equal number of patients from both the groups showed equal incidence of
155 minor bradycardia (1.2% each) and hypoxemia (0.8% each) as post or intra-
156 operative complications, with cirrhotic patients showing a 0.8% incidence of
157 Grade II hepatic encephalopathy.

158

159 **Discussion**

160 Sedation in endoscopic procedures is a preferred method due to patient's
161 tolerability and endoscopist's comfort. The drugs currently available for
162 conscious sedation during endoscopy were thought to impair psychomotor and
163 cardiovascular responses in cirrhotic patients leading to hepatic encephalopathy,
164 bradycardia, hypotension, hypoxemia and aspiration¹². Propofol is primarily
165 metabolized in the liver and it has been seen that there is no exaggerated
166 pharmacological response seen in cirrhotic patients due to their poor hepatic
167 clearance and low protein binding capacity^{14,15}, making propofol a safer option
168 with no dose adjustment in case of cirrhotic patients¹⁴. Midazolam, however,
169 has been observed to have a two-fold to three-fold longer clearance rate in case
170 of cirrhosis¹⁵ and thus lower doses have been advocated.

171 The study showed a relatively younger population representation for non-
172 cirrhosis group as dyspepsia and GERD are the most common indications for
173 upper GI endoscopy in younger age group as compared to cirrhosis^{16,17}. Also
174 patients with cirrhosis showed a relatively higher weight that could be explained
175 by accumulation of ascites and peripheral edema secondary to hepatic,
176 cardiovascular, pulmonary and renal complications common to portal
177 hypertension in cirrhotics, thus causing larger volume of distribution and a slow
178 drug clearance in theory¹⁸

179 Our study showed that Aldrete score was lower for non-cirrhotic group with
180 sedation for the first 10 minutes only followed by a rapid recovery, which was
181 in accordance to a similar study¹². The rate of minor hypoxemia and bradycardia
182 was similar for cirrhotic and non-cirrhotic sedated groups, which was in
183 accordance to another study¹⁹. None of the patients from both groups
184 experienced aspiration as compared to a similar study, which showed a
185 relatively modest rate of aspiration for both the groups¹⁹, probably related to the
186 experience of endoscopists²⁰. Many studies using propofol or fentanyl alone
187 showed no increase in the incidence of hepatic encephalopathy²¹⁻²⁴ whereas,
188 0.8% of cirrhotic patients experienced a short lived Grade II hepatic
189 encephalopathy that required hospital admission for 24 hours in our study.

190 The dose required for induction and maintenance of anaesthesia was lower for
191 our patients using propofol and midazolam as compared to studies using either
192 drug alone^{4,5} which is because of the synergistic sedative effect of propofol and
193 midazolam when used together⁵. Synergistic sedation with low doses of both
194 midazolam and propofol explains the low rate of complications in our study, as
195 lower doses of sedation were used, eliminating the likelihood of adverse effects
196 secondary to larger doses of sedation⁶.

197 Majority of the sedated patients had a pleasant experience and evaluated their
198 experience more positively with willingness to undergo another endoscopic
199 procedure if required. Similarly, endoscopists were also comfortable with

200 sedated groups that helped them to focus on the procedures rather than worry
201 about patient's intolerability and possible complications like aspiration of
202 vomitus. These findings were in accordance to a similar study¹⁹.

203

204 **Limitations**

205 Blood levels of propofol and midazolam, effect of volume of distribution and
206 clearance as well as possible effects of sedatives on liver function and
207 biochemical tests were not included in the study, which would have
208 theoretically made the study strong. Also groups were matched on the basis of
209 age only (16 – 79 years), gender and weight were not matched which might
210 have contributed to bias.

211

212 **Conclusion**

213 In conclusion, balanced propofol and midazolam sedation has a good index of
214 safety for both cirrhotic and non-cirrhotic patients and is acceptable by patients
215 and endoscopists equally. Intravenous sedation with propofol and midazolam
216 could be safely administered by experienced gastroenterologists and nurse
217 endoscopists.

218

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224 **References**

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312 **Table 1: Baseline characteristics of the patients.**

Variable	Total (n=500)	Group I (% or ± SD) (n=250)	Group II (% or ± SD) (n=250)	p
Males	299 (59.8)	165 (66)	134 (53.6)	0.005
Females	201 (40.2)	85 (34)	116 (46.4)	

Age (years)	50.3 ± 18.1	54.8 ± 11.8	45.7 ± 21.8	≤0.001
Weight (Kg)	60.9 ± 8.3	62.9 ± 7.2	59 ± 8.8	≤0.001
CTP				
A	91 (18.2)	91 (36.4)		
B	153 (30.6)	153 (61.2)		
C	6 (1.2)	6 (2.4)		
ASA				
ASA 1	113 (22.6)	0	113 (45.2)	≤0.001
ASA 2	217 (43.4)	92 (37)	125 (50)	
ASA 3	170 (34)	158 (63.4)	12 (4.8)	
Emergency procedure (ASA E)	54 (10.8)	46 (18.4)	8 (3.2)	≤0.001
Duration of procedure (min)	8.6 ± 3.7	14.8 ± 0.1	8.1 ± 3.7	≤0.001
Baseline Systolic BP (mmHg)	121.6 ± 17.1	117.2 ± 13	126 ± 19.5	≤0.001
Baseline Diastolic BP (mmHg)	71.1 ± 10.6	68.5 ± 9.9	73.7 ± 10.7	≤0.001
Baseline Pulse (per min)	91.7 ± 12.4	89 ± 11	94.5 ± 12.8	≤0.001
Baseline Oxygen (%)	99.6 ± 1.0	99.7 ± 0.7	99.5 ± 1.2	0.416

313 CTP Child Turcott Pugh score, ASA American Society of Anaesthesia. Group I Cirrhotics
 314 with sedation, Group II Non-cirrhotics with sedation. p<0.05 is considered significant.
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Table 2: Characteristics of cirrhotic and non-cirrhotic patients given sedation.

Variable	Group I (n=250)		Group II (n=250)		P
	Mean ± SD	Median (IQR)	Mean ± SD	Median (IQR)	
OAA Initial sedation (min)	1.0 ± 0.2	1.0 (0)	1.2 ± 0.4	1 (0)	≤0.001
OAA Deep sedation (min)	2.0 ± 0.2	2.0 (0)	2.2 ± 0.6	2 (0)	0.003
Propofol Initial dose (mg)	26.9 ± 4.6	30 (0)	23.4 ± 5	20 (10)	≤0.001
Propofol Final dose (mg)	32.6 ± 6.3	40 (10)	32.1 ± 8.4	30 (10)	0.096
Midazolam Initial dose (mg)	2.7 ± 0.5	3 (0)	2.3 ± 0.5	2 (1)	≤0.001
Midazolam Final dose (mg)	2.7 ± 0.5	3 (0)	2.4 ± 0.5	2 (1)	≤0.001
Systolic BP (mmHg)					
5 min	116.2 ± 12.1	120 (10)	125 ± 18.9	120 (20)	≤0.001
10 min	116.6 ± 12.4	120 (10)	123 ± 17.5	120 (20)	0.010
15 min	118 ± 10.2	120 (10)	124 ± 24.6	110 (50)	0.373
20 min	-		110 ± 0		
Diastolic BP (mmHg)					
5 min	69 ± 9.1	70 (10)	73.7 ± 10.7	70 (10)	≤0.001
10 min	69 ± 8.7	70 (10)	73.8 ± 7.9	70 (10)	≤0.001

15 min	69 ± 9.3	70 (10)	75.7 ± 10.7	70 (20)	0.13
20 min	-		70 ± 0		
Pulse (per min)					
5 min	88 ± 7.6	90 (11)	92.6 ± 11.2	92 (12)	≤0.001
10 min	87.5 ± 9.1	90 (9)	87.8 ± 9.3	88 (12)	0.386
15 min	90.7 ± 11.5	90 (10)	90.7 ± 8.7	94 (11)	0.650
20 min	-		78 ± 0		
Oxygen saturation (%)					
5 min	98.9 ± 1.9	100 (2.25)	99.5 ± 1.4	100 (0)	≤0.001
10 min	99.3 ± 1.4	100 (1.5)	99.4 ± 1.1	100 (1)	0.366
15 min	99.6 ± 0.8	100 (0.75)	99.1 ± 1.4	100 (1)	0.215
20 min	-		98 ± 0		
MASS					
5 min	9.5 ± 0.5	10 (1.0)	8.4 ± 0.9	9 (1)	≤0.001
10 min	10 ± 0.2	10 (0)	9.8 ± 0.4	10 (0)	≤0.001
15 min	10 ± 0.2	10 (0)	10 ± 0	10 (0)	0.157
20 min	10 ± 0.2	10 (0)	10 ± 0	10 (0)	0.157
25 min	10 ± 0.2	10 (0)	10 ± 0	10 (0)	0.157
30 min	10 ± 0.2	10 (0)	10 ± 0	10 (0)	0.157

319 OAA Observer's Assessment of Alertness/Sedation, MASS Modified Aldrete Scoring
 320 System, IQR Interquartile Ratio. p<0.05 is considered significant

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324 **Table 3: Patient's and endoscopist's satisfaction scores for the cirrhotic and non-**
 325 **cirrhotic sedated patients.**

Scores	Group I (n=250)	Group II (n=250)	P
Endoscopist's assessment of co-operation n (%)			
Poor	0	0	0.006
Fair	0	0	
Acceptable	0	6 (2.4)	
Good	56 (22.4)	76 (30.4)	
Excellent	194 (77.6)	168 (67.2)	
Assessment of patient's satisfaction n (%)			
Unacceptable	0	0	0.002
Extremely uncomfortable	0	0	
Slightly uncomfortable	47 (18.8)	77 (30.8)	
No discomfort	203 (81.2)	173 (69.2)	

326 p <0.05 is considered significant

327