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3 **CRISPR Cas System: an efficient tool for cancer modelling**

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12  
13 **Abstract**

14 The Clustered Regularly Interspaced Short Palindromic Repeats–Cas-9  
15 (CRISPR-Cas9) system has been a revolutionising tool in the field of molecular  
16 genetics, which provides a versatile range of editing potentials. Researchers can  
17 produce breaks or alter genomes with ease using the system. Cancer is one of  
18 the multi-gene diseases whose genes need to be studied in detail. The CRISPR-  
19 Cas9 technology may also provide a promising potential in the field of cancer  
20 genetics. The current narrative review comprised 50 research articles which  
21 were keenly analysed and the applications and outcomes of CRISPR-Cas9  
22 system in cancer genetics were comprehensively and critically discussed. It was  
23 concluded that application of the system had great potential to help understand  
24 cancer biology of various types and could be used for its genetic modelling.  
25 However, much work is still needed to be done to apply the technology for  
26 understanding the mechanism of cancers and to help in the designing of  
27 appropriate therapies.

28 **Key Words:** CRISPR-Cas9, Cancer, Cancer modelling, Cancer genetics.

## 29 **Introduction**

30 Today, cancer is the most dangerous and serious threat to human health and life.  
31 A number of different types of therapies have been used and are still in practice  
32 for the cure/management of cancer and they include surgeries and therapies  
33 including radiation and chemotherapy, but the high rate of relapse and cancer's  
34 resistance to therapies contribute to the poor prognosis. Therefore, there is  
35 always a need for suggestion of new therapeutics and improvements in the  
36 present strategies for cancer therapies. It is known that in the genome of cancer  
37 cells there are many epigenetic and genetic factors contributing to the  
38 development of cancer. These changes include inactivation of tumour  
39 suppression genes, like PTEN (phosphatase and tensin homolog) and p53,  
40 activation of genes, like RAS (rat sarcoma) and ErbB (Erb-b2 receptor tyrosine  
41 kinase), chemo-resistance resulting from mutations in genes, like MDR1 (multi  
42 drug resistance 1), and mutations that occurs in epigenetic factors and their loci,  
43 like DNMT1 and others [1-5]. Therefore, genomes of cancer cells are needed to  
44 be corrected or disabled in faulty segments which may be one or more. The  
45 restoration of genes' function responsible for tumour suppression can provide a  
46 horizon for effective therapies of cancer [6]. In the modern era, many studies  
47 and researches have been carried out showing the therapeutic applications of  
48 genome engineering techniques that target the required defective segment of  
49 cancer genome precisely and effectively, resulting in knock-out and knock-in  
50 changes [7-8]. Molecular targets of cancer cells are repressed or activated for  
51 long term through these genetic techniques. Theoretically, these techniques need  
52 a tool that can specifically target the desired sequences and correct or disable  
53 them with low off-target actions so that their side effects are minimum.  
54 For targeting genes and increasing its efficiency, double stranded breaks (DSBs)  
55 are needed to be produced in locus of genome of interest which are repaired by  
56 Non-Homologous End Joining (NHEJ) pathway and leads to Insertion-Deletion  
57 (Indels) [9]. When the deoxyribonucleic acid (DNA) template of external donor

58 is present, accurate modifications in DNA can be mediated by Homology  
59 Directed Repair (HDR) pathway while repairing DSBs. Genome engineering  
60 techniques are developed using endonucleases that are specific to the target site,  
61 like Zinc Finger Nucleases (ZFNs) and Transcription-Activator-Like Effector  
62 Nucleases (TALENs) [10] which have been used in a wide range of organisms  
63 and cell cultures [10]. They both have been used widely but high cost and  
64 complicated designing of the required specific endonuclease have limited made  
65 their application.

66 A simple and precise genome editing tool was discovered in 2012 [11-12] when  
67 two pivotal studies were released in the journals *Science* and *Proceedings of the National*  
68 *Academy of Sciences (PNAS)* of the United States, which helped transform bacterial Clustered  
69 Regularly Interspaced Short Palindromic Repeats–Cas-9 (CRISPR-Cas9) into a  
70 basic, programmable genome-editing tool, which has revolutionised genome  
71 editing techniques. CRISPR and CRISPR-associated 9 (Cas9) nuclease, together  
72 called CRISPR Cas-9 system, has been successfully applied in mammalian cells  
73 [13]. It is derived from the immune system of bacterial cell and consists of two  
74 components; endonuclease Cas9, which is guided by ribonucleic acid (RNA)  
75 and a chimeric single guide RNA (sgRNA). The combination of CRISPR RNA  
76 (cr(RNA) and trans-activating CRISPR RNA (tracr(RNA) [11] further  
77 streamlined the system by merging crRNA and tracrRNA to produce single  
78 guide RNA (gRNA)). The sgRNA binds and guides Cas9 to the specific target  
79 sequence based on complementarities [14]. The target sequence must be  
80 adjacent to the Protospacer Adjacent Motif (PAM) sequences which may be  
81 NAG or NGG for Cas9 derived from *Streptococcus (S.) pyogenes*. The sgRNA  
82 guides the Cas9 endonuclease to target sequence cleavage, producing DSBs  
83 which are then repaired by HDR or NHEJ. A study in 2014 reviewed the  
84 disruption of genes and its modification in different organisms and cell cultures  
85 through CRISPR and its repair by HDR and NHEJ [15], and found that

86 manipulation of DNA is a complex process and requires living organism, which  
87 have some common ethical concerns.

88 To study cancer biology, the common model organism used in studies are mice.  
89 As CRISPR technology is an advanced tool for gene editing, the ethical  
90 concerns associated with this technology are the same as that of the previous  
91 such tools.

92 The current narrative review was planned to review recent work done in cancer  
93 studies using CRISPR-Cas9 and to highlight its potential for effective solutions  
94 to the problems in cancer genetics.

95 **Applications of CRISPR-Cas9 in Cancer Studies** CRISPR-Cas9 technology  
96 has been widely used in cancer studies which includes carrying out genetic  
97 modelling in rapid manner, mouse models being prepared rapidly and genomic  
98 engineering of somatic cells in different organisms and cell cultures as well  
99 [4,5,16,].

#### 100 **Carrying out genetic modelling in rapid manner**

101 Large-scale efforts of sequencing genome in the current years have contributed  
102 largely to understanding the genetic changes that are present in tumours.  
103 Studying functional genetics at medium and large scales showing the role genes  
104 for tumour suppression and oncogenes in cell cultures, mouse models, allografts  
105 and xenografts are largely based on overexpression of complementary DNA (cDNA)  
106 and knock-down techniques by RNA interference (RNAi). All these techniques  
107 have led to several crucial discoveries in the field of cancer studies, but there are  
108 also some crucial hindrances in its way to effective strategy for cancer studies.  
109 Expression of genes is led to very high level in the systems based on cDNA  
110 expressions [17], which can cause artefactual and inverse effects on the  
111 biological process and signalling pathway of the cell. Inhibition stability and the  
112 degree to which gene is silenced is uncertain in case of inactivation based on  
113 RNAi. These may be useful and don't have any such limitation in some cases

114 and some experimental purposes, but, for consistency to be obtained,  
115 inactivation is required permanently and completely. Stable knockdown  
116 transfections using viral vectors and shorthair pin RNA (ShRNA) are also  
117 possible. Off-target actions also limit the techniques based on RNAi. These  
118 limitations can be overcome by using CRISPR-Cas9 system for specific target  
119 modification accurately and rapidly. It can also differentiate between passenger  
120 and driver mutations which effectively simplify the genetics of cancer genes.  
121 Single endogenous loci or multiple loci can be modified permanently through  
122 CRISPR system by delivering its component which may be stable or transient.  
123 Transient delivery of sgRNA and Cas9 coding plasmid has successfully edited  
124 genes of cells present in cell cultures [18] while some studies have reported  
125 successful results for transient delivery of sgRNA ribonucleoprotein (RNPs)  
126 [19]. On the other hand, for stable delivery of components of CRISPR, lentiviral  
127 vector or retroviral vectors can be used [20-21]. Moreover, bionanoparticles  
128 have also been used recently for transient delivery of CRISPR components for  
129 cancer modelling [22-23]. Hit-and-run strategy is adapted by the CRISPR  
130 components in transient delivery so it has an advantage as it doesn't need  
131 constant supply or expression of CRISPR components for many endogenous  
132 editing processes and can lead to serial and unlimited editing. In vivo and cell-  
133 based assays can be used to test mutations in the cell lines in order to examine  
134 mutation's effect on phenotypes associated with cancer. Established primary or  
135 cancer cell lines of human or mouse origin can be subjected to this technique.  
136 Cancer genes can be studied well by generating models of animals through this  
137 approach as discussed below.

### 138 **Generating mouse models with ease and speed**

139 Different aspects of cancer that are fundamental for cancer development, like  
140 cancer progression, its initiation and maintenance, are better studied with the  
141 help of genetically-engineered mouse models (GEMMs) and non-germline  
142 GEMMs (nGEMMs) [24]. These models are also useful for testing range of

143 agents that play a critical role in anticancer therapy and drug resistance  
144 mechanism [25-26]. However, manipulation of embryonic stem (ES) cells or  
145 pronuclear injection is needed for GEMMs to be generated which is an  
146 expensive and slow process, along with extra caring of mouse that carry the  
147 gene of interest [24]. Multiplex genetic manipulations is a considerable  
148 limitation. CRISPR-Cas9 sets aside this limitation as it has the capability of  
149 modifying multiple genes at a time. Disruption of multiple alleles, up to eight,  
150 in a single step, two-gene deactivation in embryo of a single cell mouse and  
151 double knock-out animal production in one step have been done by CRISPR-  
152 Cas9 [27-28]. A study demonstrated that such mice can be generated that carry  
153 a small deletion with the help of sgRNA pairs [29]. Mice or ES cells can be  
154 generated through these methods that have quite a few loss of function (LoF)  
155 and gain of function (GoF), paving the way for precise, accurate and easy  
156 GEMM and nGEMM production. Studies provide many suggestions, like, while  
157 studying cancer through mouse models, it should be kept in mind that in most  
158 models, there are less induced mutations but CRISPR-Cas9 can induce many  
159 alleles responsible for cancer in mouse models [16]. Along with developing new  
160 models, CRISPR-Cas9 technology can also be used for advancing the existed  
161 models of mice for cancer studies.

162

### 163 **Genome engineering of somatic cells**

164 It is now possible to manipulate ES and germline cell-line at the genetic level  
165 through CRISPR-Cas9 with ease and speed, which also has potential of editing  
166 genome of somatic cells both in vivo and ex vivo.

### 167 **In vivo cancer modelling from ex vivo CRISPR-mediated somatic genome** 168 **editing**

169 Many studies have demonstrated the use of CRISPR for ex vivo genome editing  
170 of somatic cells in order to study mutations and mouse models' generation

171 having haematopoietic cancers [20, 30-33]. In *Arf*<sup>-/-</sup>*Eμ*-*Myc* lymphomas, a  
172 tumour suppressor gene, *Trp53*, was edited ex vivo using CRISPR, which was  
173 then transfected into syngeneic mice. These cells, which lacked *p53*, showed  
174 substantial enrichment when treated with doxorubicin [26]. Another tumour  
175 suppresser gene, *Mll3*, was disrupted ex vivo by CRISPR in mouse primary  
176 hematopoietic stem and progenitor cells (HSPCs) with *shf1;Trp53*<sup>-/-</sup> to study  
177 acute myeloid leukaemia (AML) tumour suppresser genes [30]. Another group  
178 [31] generated AML mouse models with ease and speed using CRISPR system  
179 by single and multiple genes ex vivo editing in mouse HSPCs mediated by  
180 lentivirus.

### 181 **In vivo cancer modelling from in vivo CRISPR-mediated somatic genome** 182 **editing**

183 CRISPR system has also been useful in direct gene mutations in vivo in model  
184 animals. Two tumour suppresser genes, *PTEN* and *Trp53*, were successfully  
185 targeted by CRISPR-Cas9 for induction of liver tumour having exactly the same  
186 histopathology as observed in *Pten*<sup>fl/fl</sup> *Trp53*<sup>fl/fl</sup> GEMMs. CRISPR  
187 components, along with DNA template having single strand that encoded  
188  $\beta$ -catenin mutant form, were successfully transfected to wild type (WT) mice  
189 livers which resulted in low 0.5% hepatocytes generation with nuclear  
190  $\beta$ -catenin, but had detectable frequency [34]. Apart from liver, three tumour  
191 suppresser genes of lung cancer were also mutated using cre-recombinase and  
192 CRISPR components encoding lentiviral vector into mice models with  
193 *Kras*<sup>LSL-G12D/+</sup>*Trp53*<sup>fl/fl</sup> and *Kras*<sup>LSL-G12D/+</sup> lung cancers which proved  
194 to have the required features of the targeted gene [35,36]. Furthermore, Indels  
195 were also harboured in target sites with no off-target activity being detected  
196 which paved the way for in vivo editing of somatic genome using targeted Cas9  
197 activity. Rearrangement of large chromosomal oncogene was demonstrated in a  
198 similar study using CRISPR technology through adenoviral vector delivery that  
199 encoded echinoderm microtubule-associated protein-like 4-Anaplastic

200 lymphoma kinase (Eml4–Alk) targeting two sgRNAs and Cas9 nuclease to  
201 induce inversion [37,38]. Besides, CRISPR technology was also seen to have  
202 feasibility in these studies for modelling rearrangement of large genomic  
203 regions as Eml4 and Alk have about 11 mbp separation in between them. In a  
204 separate study, induction of in vivo rearrangement of chromosomes by using  
205 CRISPR technology was demonstrated [39]. Thus it is evident from these  
206 studies that mouse models can be generated more rapidly by using CRISPR-  
207 Cas9 system being delivered by viral vectors or plasmids. Some studies also  
208 suggested delivery of CRISPR components through nanoparticle –sgRNA  
209 complexes [40] and protein-RNA (Cas9-sgRNA) complexes [41]. In future, in  
210 addition to these methods, other delivery methods would also have to be  
211 developed.

212

### 213 **Future Perspectives**

214 CRISPR has been one of the hot topics since its discovery for its versatile nature  
215 and broad applications in almost all forms of life. Yet, CRISPR's role in  
216 translational and basic cancer research is still unfolding. CRISPR technology  
217 has been used in an effective way to generate experimental models for studying  
218 different types of cancers in both animal-based and cell-based cultures. It can  
219 also investigate the genome non-coding region, and will thus facilitate cancer  
220 genetics studies as it has been one of the poorly understood aspects of cancer.  
221 This system can be used as a person-specific system to study cancer by  
222 researchers and will aid researchers to study genome-specific traits and much  
223 more related to cancer genetics. If used in patients, it will allow researchers to  
224 identify potential resistance mechanisms, providing a roadmap to cancer  
225 genetics. Still, we are looking forward to seeing the results of pilot clinical trial  
226 [43] as these will change the whole history of gene therapy and surely will be  
227 great news for cancer patients and other genetically disordered people.



228 In future, CRISPR efficiency will depend on the effective delivery and limited  
229 or no off-target actions of CRISPR-Cas9. Though this technology still confers  
230 many limitations, there is no doubt that gene therapy for cancer patients using  
231 CRISPR technology is a prime opportunity for researchers to counter cancer. In  
232 short, CRISPR has no parallel so far in genome editing, and will greatly  
233 influence cancer research and studies.

234

### 235 **Conclusion**

236 Application of CRISPR-Cas9 system has great potential to help understand  
237 cancer biology of various types and could be used for its genetic modelling.  
238 However, much work is still needed to be done to apply the technology for  
239 understanding the mechanism of cancers and to help in the designing of  
240 appropriate therapies.

241

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245

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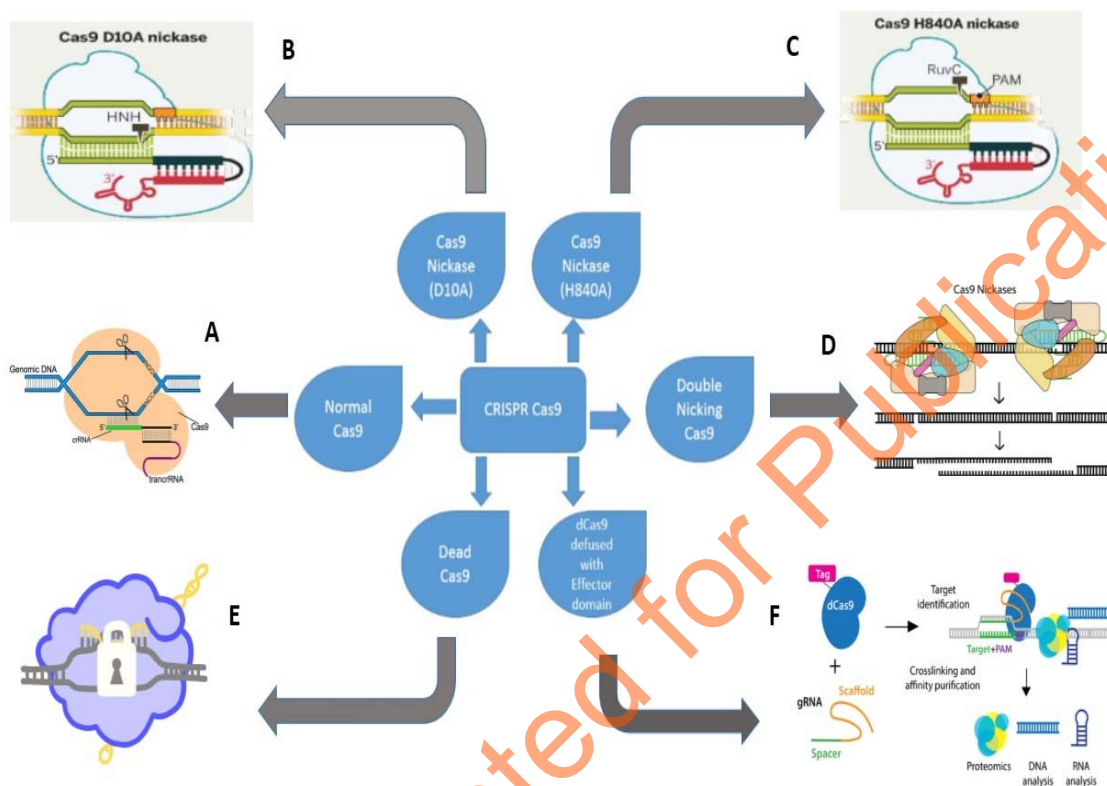
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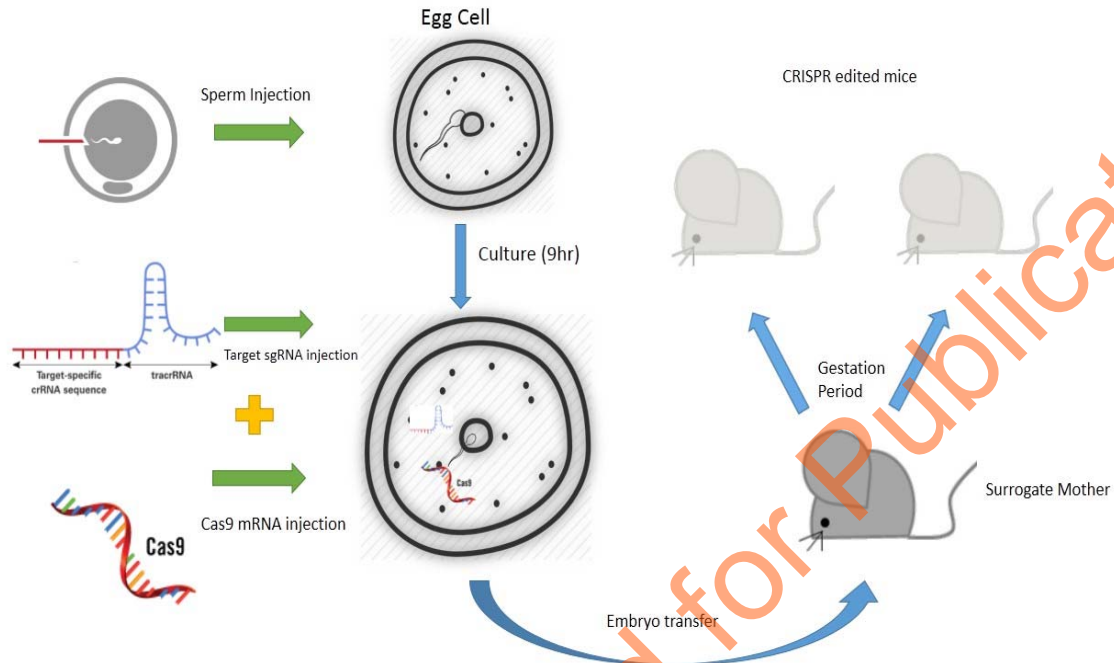
391

392 **Figure 1;** Different variants of Cas9 enzyme that can be used for different  
 393 purposes. **A) Normal Cas9;** in this Cas9, both the domains are active and  
 394 produces double stranded breaks (DSBs). These is the common type of Cas9  
 395 used for gene knockout and knock in. In this case, the DSBs are repaired either  
 396 by Non-Homologous End Joining (NHEJ) method or Homology Directed  
 397 Repair (HDR) method. **B) Cas9 Nickase D10A;** In this type, only one domain  
 398 is working i.e. HNH while the RuvC domain is mutated (D10A) and not  
 399 functional so it results in single stranded breaks. **C) Cas9 Nickase H840A;**  
 400 it is also nicked i.e. one domain (RuvC) is functional while the other (HNH)  
 401 is mutated (H840A). **D) Double Nicking Cas9;** In this type of Cas9 system,  
 402 two nicked Cas9 are used (one D10A and one H840A nickase). They produce  
 403 sticky ends and are very effective because of its less off target activity. It  
 404 has been used to reduce off target action and has been fruitful. **E) Dead Cas9  
 405 (dCas9);** dCas9 has its both domains mutated and not functional. It binds  
 406 to its target sequence but doesn't produce breaks. It has been used for gene  
 407 silencing. **F) dCas9 with Effector Domains;** dCas9 has been tagged with many  
 408 different effector domains according to required function and has been used  
 409 for gene identification and transcription activation/inhibition.

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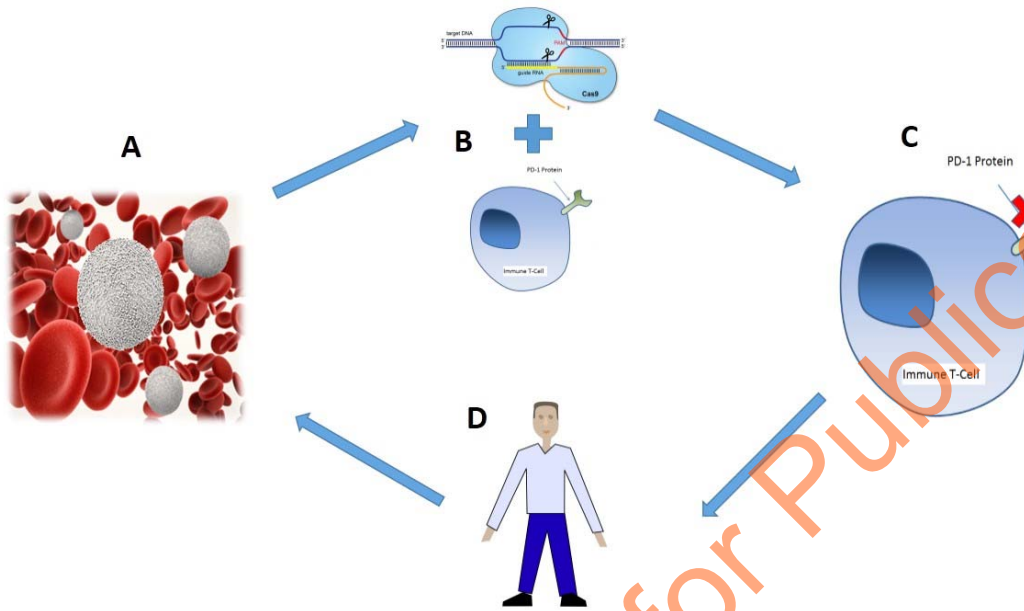
414 **Figure 2:** Mouse models generated with Clustered Regularly Interspaced Short  
 415 Palindromic Repeats-Cas9 (CRISPR-Cas9). Mouse models with required  
 416 genetic modifications was never as easy as it is now using CRISPR-Cas9 tools.  
 417 It simply requires injection of sperm cell to egg cell followed by Cas9  
 418 messenger ribonucleic acid (mRNA) and target single guide RNA (sgRNA)  
 419 injection. Then it is transferred to surrogate mother and will produce CRISPR  
 420 edited mice with required characters.

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**Figure3:** Therapeutic applications of the Clustered Regularly Interspaced Short Palindromic Repeats-Cas9 (CRISPR-Cas9). This is the 1st phase of clinical trials. **A)** Blood Lymphocytes from patients with solid tumour have been taken. **B, C)** CRISPR-Cas9 mediated gene knockout of programmed cell death protein 1 (PD1) is performed. **D)** Then these cells are transferred back to the patients and are supposed to cause immunological response in patients [42].