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3 **Amalgamation of self-esteem, depression, anxiety and stress among**  
4 **prosthesis users**

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11  
12 **Abstract**

13 **Objective:** To find the levels of self-esteem, depression, anxiety and stress  
14 among prosthesis users.

15 **Method:** The cross-sectional study was conducted from June to November 2018  
16 at Chal Foundation centres in Bagh and Swabi, Pakistan, and comprised  
17 prosthesis users of both genders aged 15-60 years. Rosenberg self-esteem scale  
18 and Depression anxiety and stress scale-21 were used to collect data which was  
19 analysed using SPSS 22.

20 **Results:** Of the 400 subjects, 315(78.8%) were males and 85(21.2%) were  
21 females. The overall mean age was 38.03±11.86 years. Low level of self-esteem  
22 was found in 350(87.5%), depression in 374(93.4%), anxiety in 388(96.9%) and  
23 stress in 338(84.4%). Females showed significant association with stress  
24 (p=0.009).

25 **Conclusion:** The level of self-esteem was low among majority of the participants  
26 and psychological well-being was found to be poor.

27 **Key Words:** Amputation, Anxiety, Depression, Prosthesis users, Quality of life,  
28 Self-esteem, Stress.

## 29 **Introduction**

30 Often traumatic, metabolic, vascular, oncological and infective conditions lead to  
31 amputation, especially involving the limbs. Incidence of amputation varies  
32 considerably depending on the region and aetiology with amputations involving  
33 lower extremity having an overall worldwide incidence of 3.6–68.4 per 1 million  
34 population and an incidence of 46.1–9600 per 1 million population in diabetes  
35 mellitus (DM) alone<sup>1</sup>. Persons with amputation (PWAs) face a number of  
36 psychosocial and psychiatric issues including loss of self-esteem, depression,  
37 stress and anxiety with financial repercussions, leading to economic burden due  
38 to medical costs and at times suicidal tendencies also develop<sup>2</sup>, leading to  
39 compromised quality of life (QOL). A review article revealed a high prevalence  
40 of psychiatric disorders (32-84%), including depression (10.463%), post-  
41 traumatic stress disorder (PTSD) (3.3–56.3%), and the phenomenon of phantom  
42 limb (14–92%)<sup>3</sup>.

43 PWAs need both prosthetic devices and rehabilitative methods after limb  
44 removal. Prosthesis is a type of an artificial limb that substitutes for a lost part of  
45 body, like arms or legs, and helps replace and, hence, restore the movement of  
46 the missing limb. The prosthetic devices may be a simple extension to the body  
47 or fused with muscles and skeleton. Also, the nervous system may help to control  
48 movements lost by any accident, disease or defect. After limb amputation,  
49 rehabilitation for successful fitting and use of prosthesis to attain functional  
50 mobility are the main goals. A number of medical and psychosocial factors can  
51 contribute to fitting, use and function of prosthesis<sup>4</sup>.

52 PWAs with and without prosthesis face certain restrictions in performing their  
53 activities of daily life (ADLs) pertaining to professional, leisure and public  
54 activities and their perception radically changes about their own body and its  
55 appearance. Research indicates higher levels of stress, anxiety, depression and  
56 even suicidal tendencies in lower limb amputees<sup>5,6</sup>. Anxiety is a feeling of  
57 unpleasant state of inner confusion, often followed by nervous behaviour, while

58 depression is a common mental disorder evident from unhappiness, loss of  
59 concern, feelings of shame or low self-esteem, troubled sleep or hunger,  
60 drowsiness or insomnia, and reduced attention. Likewise, stress is defined as the  
61 body's response to a demand, caused either by, or as a result of, pleasing or  
62 unpleasing conditions<sup>7</sup>. Self-esteem is generally considered the positive or  
63 negative orientation toward oneself i.e., self-worth. Self-esteem has three  
64 dimensions based on worth, efficacy and authenticity<sup>8</sup>.

65 Awareness of pleasant physical appearance is the difficult construction of various  
66 mental and physical factors and is the extent to which a person's physical qualities  
67 are measured aesthetically satisfying<sup>5</sup>. A number of researchers have explored the  
68 self-perception and psychosocial well-being of people who have gone through  
69 lower limb amputations<sup>9</sup>. An important relationship was found among body  
70 image and life satisfaction, signifying that when an amputee senses negative  
71 orientation regarding his or her body image, he or she is found to be less fulfilled  
72 with his or her life<sup>9</sup>. However, a study identified two large groups, one with  
73 experience of prosthesis as a corporeal structure, and the other as a tool, and  
74 proposed future research to look at the psychological aspects of these two  
75 groups<sup>10</sup>. Another study reported association between body image and  
76 satisfaction related to prosthesis and pointed towards the importance of the  
77 aesthetic parts of prosthesis in females and functional aspects in males<sup>11</sup>.  
78 However, another study<sup>12</sup> reported that interruption of body image as well as  
79 anxiety and depression were commonly not frequent in conventional limb-  
80 wearers, except in adolescents with traumatic amputations. Additionally, in  
81 general, the association between the individual with loss of body part and  
82 artificial limb-wearers tend to be just addressed in the existing literature in  
83 expressions of 'rejection' and 'acceptance' rates, with suggestion to a variety of  
84 causative aspects<sup>13,14</sup>.

85 The current study was planned to determine the levels of self-esteem, depression,  
86 anxiety and stress among prosthesis users.

## 87 **Subjects and Methods**

88 The cross-sectional study was conducted from June to November 2018 at Chal  
89 Foundation centres in Bagh and Swabi, Pakistan. After approval from the  
90 institutional ethics review board, the sample size was calculated using Raosoft <sup>15</sup>  
91 online calculator with confidence level 95%, margin of error 4.8%, population  
92 size 20,000 <sup>16</sup> and with 50% response distribution between the two centres. The  
93 sample was raised using non probability convenience sampling. Those included  
94 were lower limb prosthesis users of both genders aged 15-60 years. Those having  
95 cognitive impairments, any other disability, systemic disease and those using  
96 orthosis were excluded.

97 After taking informed consent from the subjects, data was collected using a  
98 demographic information form, as well as the Rosenberg self-esteem scale  
99 (RSES) and 21-item depression, anxiety and stress scale (DASS-21). The English  
100 versions of both the scales were administered. Where necessary, the questions  
101 were explained by the researchers in Urdu and Pashto languages to obtain true  
102 responses which were noted.

103 RSES <sup>17</sup> is a 10-item unidimensional scale to assess overall self-worth by  
104 calculating both the positive and negative thoughts about oneself, measured on 4-  
105 point Linkert scale ranging from 'strongly agree' to 'strongly disagree;. Self-  
106 esteem is considered normal at a score of 15-25, and low when <15. DASS-21 <sup>18</sup>  
107 is a self-reporting scale of the emotional states of depression, anxiety and stress  
108 with seven items in each scale further divided into subscales. Each one question  
109 has a 0-3 point scoring for each question and reported as normal score 0-9 for  
110 depression, 0-7 for anxiety, and 0-14 for stress); mild 10-13 for depression, 8-9  
111 for anxiety, 15-18 for stress; moderate 14-20 for depression, 10-14 for anxiety,  
112 19-25 for stress; severe 21-27 for depression, 15-19 for anxiety, 26-33 for stress;  
113 and very severe >28 for depression, >20 for anxiety, and >34 for stress.

114 Data was tabulated using Microsoft Excel Worksheet and was analysed  
115 statistically using SPSS 22. Descriptive statistic, frequencies, percentages, mean

116 and standard deviation were used. Independent samples t-test was conducted to  
117 compare scores for males and females participants. Analysis of variance  
118 (ANOVA) was performed to see inter-group and intra-group differences in terms  
119 of depression, anxiety, stress and self-esteem for different types of prosthetic  
120 materials.  $P < 0.05$  was considered significant.

121

## 122 **Results**

123 Of the 400 subjects, 315(78.8%) were males and 85(21.2%) were females. The  
124 overall mean age was  $38.03 \pm 11.86$  years (Table 1). Low level of self-esteem was  
125 found in 350(87.5%), depression in 374(93.4%), anxiety in 388(96.9%) and stress  
126 in 338(84.4%) (Table 2). In terms of gender, there was no significant difference  
127 related to depression, anxiety and self-esteem scores. Females showed significant  
128 association with stress ( $p = 0.009$ ) (Table 3).

129 There was no inter-group or intra-group differences related to depression,  
130 anxiety, stress and self-esteem for different types of prosthetic materials (Table  
131 4).

132

## 133 **Discussion**

134 The study, with a predominance of less-educated, male, married population with  
135 a mean age of  $38.03 \pm 11.86$  years, revealed low level of self-esteem in 87.5% of  
136 prosthesis users, with depression in 93.4%, anxiety in 96.9% and stress in 84.4%.

137 A study revealed that unsuccessful prosthetic fitting had significant association  
138 with a number of psychosocial factors, including depression<sup>4</sup>. Also, a significant  
139 difference in prevalence of depression and anxiety was noted which was high  
140 after amputation but declined following inpatient rehabilitation to rise again  
141 following discharge<sup>19</sup>. A local study with comparable demographic picture  
142 reported good QOL in 80% respondents<sup>20</sup>, which may be due to early provision  
143 of prosthesis in majority cases.

144 In one study, higher prevalence of anxiety in subjects aged 18-38 years was noted,  
145 while prevalence of depression was higher in those aged 60-80 years<sup>9</sup>. In the  
146 current study, depression ( $p=0.001$ ) and self-esteem ( $p=0.052$ ) had significant  
147 association with age.

148 In the current study, no significant difference was found in gender terms in the  
149 level of depression, anxiety and self-esteem, but mean stress scores were higher  
150 for females ( $p=0.009$ ).

151 The findings correlated with level of amputation for stress ( $p=0.001$ ), but no  
152 relationship was noted for depression, anxiety and self-esteem, though our  
153 population mainly comprised males. In one study, male gender positively  
154 predicted fitting a limb prosthesis at both trans-tibial ( $p=0.001$ ) and trans-femoral  
155 ( $p=0.001$ ) levels. Bilateral amputations and increasing age were negative  
156 predictors of fitting with a prosthetic limb ( $p<0.001$ ). DM negatively predicted  
157 fitting with a prosthetic limb at trans-femoral amputation level ( $p<0.001$ )<sup>21</sup>.

158 Regarding psychological well-being, the current study revealed high scores of  
159 depression (93.4%) compared to a previous study (28.35%)<sup>22</sup>. The current study  
160 revealed extremely severe depression in 3.5%, severe depression in 31.2%,  
161 moderate depression in 51% and mild depression in 7.7%. Most studies have  
162 reported high level of depression and anxiety in the initial 2 years of amputation<sup>2</sup>.

163 In the present study 3.1% respondents had normal anxiety, 2% mild anxiety,  
164 16.5% moderate anxiety, 35.7% had severe anxiety and 42.7% had extremely  
165 severe anxiety. A previous study found prevalence of significant anxiety in  
166 35.5% of its respondents.<sup>22</sup> The current study found low level of self-esteem in  
167 87.5% participants with no significant difference in terms of gender ( $p=0.07$ ) The  
168 findings are consistent with a study done in Australia<sup>5</sup>.

169 A review article revealed that the residual limb, prosthesis fit, appearance,  
170 properties and use of the prosthesis influenced patient satisfaction<sup>23</sup>.

171 The main limitation of the current study is that it was conducted in certain  
172 geographical areas of Pakistan with unequal gender distribution, and that it used  
173 data-collection tools in English which were not validated in Urdu.

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### 175 **Conclusions**

176 The level of self-esteem was low among majority of the participants and  
177 psychological well-being was found to be poor, indicating need of further studies  
178 to identify the factors associated with poor psychological well-being of prosthesis  
179 users that can be modified to improve their psychological well-being.

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184

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257 **Illustrations**

258 **Table 1: Demographic variables and P-value for Rosenberg self-esteem scale**  
 259 **and depression anxiety and stress scale-21 (DASS-21). (n=400)**

<b>Variable</b>	<b>Variable Group (N, %)</b>	<b>Stress (X<sup>2</sup>,P)</b>	<b>Depress -ion (X<sup>2</sup>,P)</b>	<b>Anxiet y (X<sup>2</sup>,P)</b>	<b>Self Esteem (X<sup>2</sup>,P)</b>
<b>Age Group (Years)</b>	15-30 (136, 34.6%), 31-45 (138, 34.8%), 46-60 (126, 30.6%)	40.62 0.274	64.43 0.001	34.03 0.279	48.44 0.052
<b>Gender</b>	Male (315, 78.8%), Female (85, 21.2%)	31.14 0.028	16.21 0.509	26.95 0.029	16.62 0.48
<b>Marital Status</b>	Married (262, 65.5%), Unmarried (138, 34.5%)	27.83, 0.065	34.07, 0.008	22.07, 0.106	33.00, 0.011
<b>Education Level</b>	Professional level (0.5%), Masters (5.3%), Graduation (9%), FSC (6%), SSC (20.2%), Middle School (20.8%), Illiterate (38.2%)	183.29 0.120	162.59 0.282	141.67 0.330	210.11 0.002
<b>Occupatio n</b>	No Job (167,41%), House wives (27,6.8%), Student (48,12%), Teacher (22,5.5%), Doctor (5,1.3%), Farmer (19,4.7%), Driver (26,6.5%), Shopkeeper (72,18%), Officer (14,3.5%)	204.03 0.014	218.7 0.001	167.75, 0.029	235.15 0.001
<b>Causes of Amputatio n</b>	Landmine (128, 32%), Earth Quake (48, 12%), RTA (119, 29.7%), Gangrene (49, 12.2%),	154.13 , 0.658	132.86, 0.878	134.22, 0.503	139.26 0.780

Machine Injury (5,1.3%),  
 Congenital (20,5%),  
 Burns (2,0.5%),  
 Dysmelia (4,1%), Bomb  
 blast (25,6.3%)

<b>Amputation Level</b>	Trans femoral (80, 20%),	508.21	211.83,	181.6,	107.76
	Trans tibial (251, 62.7%), Partial Foot (52, 13%), Trans radial (4,1%), Knee Disarticulation (10,2.5%), Tans humeral (2,0.5%), Hip Disarticulation (1,0.3%)	0.001	0.339	0.453	1.00

260  $\chi^2$ : Chi-Square; RTA: Road traffic accident.

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264 **Table 2: Severity on Rosenberg self-esteem scale and depression anxiety and**  
 265 **stress scale-21 (DASS-21) (n=400)**

Scale	Severity	Depression	Anxiety	Stress	Self Esteem
<b>DASS</b>	Normal	26 (6.6%)	12 (3.1%)	62 (15.5%)	
	Mild	31 (7.7%)	8 (2%)	110 (27.5%)	
	Moderate	204 (51%)	66 (16.5%)	186 (46.5%)	
	Severe	125 (31.2%)	143 (35.7%)	39 (9.7%)	
	Very Severe	14 (3.5%)	171 (42.7%)	3 (0.8%)	
<b>Rosenberg</b>	Low Self Esteem				350 (87.5%)
	High self Esteem				50 (12.5%)

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269 **Table 3: Gender Distribution related to depression, anxiety, stress and self-**  
 270 **esteem scores (mean±standard deviation) (n=400)**

Gender	Depression	Anxiety	Stress	Self Esteem
<b>Total</b>	<b>18.61±6.02</b>	<b>17.72±4.60</b>	<b>19.45±5.08</b>	<b>21.94±2.77</b>
<b>Male</b>	18.68±6.02	17.49±4.43	19.10±4.87	22.07±2.74
<b>Female</b>	18.32±6.06	18.56±5.13	20.72±5.63	21.45±2.85
<b>P-Value</b>	0.629	0.570	0.009	0.070

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274 **Table 4: Descriptive Statistics and analysis of variance (ANOVA) for**  
 275 **different types of prosthetic materials (n=400).**

DOMAIN	MATERIAL	MEAN±SD	STD. ERROR	F	P-VALUE
Stress	Polypropylene	19.48±5.09	0.26	0.686	0.504
	Modular	16.67±4.16	2.40		
	Conventional	16.00±0.00	.		
	Total	19.45±5.08	0.25		
Depression	Polypropylene	18.63±6.04	0.30	0.361	0.697
	Modular	17.33±4.16	2.40		
	Conventional	14.00±0.00	.		
	Total	18.61±6.03	0.30		
Anxiety	Polypropylene	17.73±4.61	0.23	0.331	0.719
	Modular	18.00±6.00	3.46		
	Conventional	14.00±0.00	.		
	Total	17.72±4.61	0.23		

Self-Esteem	Polypropylene	21.96 $\pm$ 2.77	0.14	1.411	0.245
	Modular	19.33 $\pm$ 1.53	0.88		
	Conventional	23.00 $\pm$ 0.00	.		
	Total	21.94 $\pm$ 2.77	0.14		

276 SD: Standard deviation.

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